

The X-ray transform on Anosov manifolds

A survey of recent results

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- (\mathcal{M}, g) smooth closed connected n -dimensional **Riemannian manifold**,
- $X \in C^\infty(\mathcal{M}, T\mathcal{M})$ smooth vector field generating a **transitive Anosov flow** $(\varphi_t)_{t \in \mathbb{R}}$, i.e. such that there exists a **continuous flow-invariant splitting**

$$T\mathcal{M} = E_s \oplus E_u \oplus \mathbb{R}X,$$

with:

$$\|d\varphi_t(v)\| \leq Ce^{-\lambda t} \|v\|, \quad \forall v \in E_s, \forall t \geq 0,$$

$$\|d\varphi_t(v)\| \leq Ce^{-\lambda|t|} \|v\|, \quad \forall v \in E_u, \forall t \leq 0,$$

where the constants $C, \lambda > 0$ are uniform, $\|\cdot\| = g(\cdot, \cdot)^{1/2}$,

- \mathcal{G} set of periodic orbits.

Definition (X-ray transform)

$$I : C^0(\mathcal{M}) \rightarrow \ell^\infty(\mathcal{G}), \quad \text{If} : \mathcal{G} \ni \gamma \mapsto \langle \delta_\gamma, f \rangle := \frac{1}{\ell(\gamma)} \int_0^{\ell(\gamma)} f(\varphi_t z) dt,$$

where $z \in \gamma$, $\ell(\gamma)$ is the period of γ .

- Definition can be restricted to **other regularities**: C^α (Hölder), H^s (Sobolev) for $s > \frac{n}{2}$, ...
- **Question:** can we describe the **kernel** of I on functions with **prescribed regularity**?
- $I(Xu) = 0$, for any $u \in C^\infty(\mathcal{M})$; Xu is called a **coboundary**.

Theorem (Livsic '72)

Let $\alpha \in (0, 1)$. Given $f \in C^\alpha(\mathcal{M})$ such that $If = 0$, there exists $u \in C^\alpha(\mathcal{M})$ such that $f = Xu$. Moreover, u is **unique up to an additive constant**.

Classical Livsic theorem was also proved:

- in smooth regularity i.e. $f, u \in C^\infty(\mathcal{M})$ (**de la Llave-Marco-Moriyon '86**),
- in Sobolev regularity i.e. $f, u \in H^s(\mathcal{M})$ (**Guillarmou '17**).

Other natural questions:

- What if $If \geq 0$ instead of $If = 0$? (**Positive version of Livsic theorem**)
- What if $If \simeq \varepsilon$ (i.e. $\|If\|_{\ell^\infty} := \sup_{\gamma \in \mathcal{G}} |If(\gamma)| \leq \varepsilon$)? (**Approximate Livsic theorem**)
- What if $If(\gamma) = 0$ for all periodic orbits γ of length $\ell(\gamma) \leq L$? (**Finite Livsic theorem**)

Theorem (Lopes-Thieullen '04, Positive Livsic theorem)

Let $\alpha \in (0, 1)$. There exists $\beta \in (0, \alpha)$, $C > 0$ such that the following holds. Let $f \in C^\alpha(\mathcal{M})$ such that $f \geq 0$. Then, there exists $u, h \in C^\beta(\mathcal{M})$ such that $Xu \in C^\beta(\mathcal{M})$, $h \geq 0$ and $f = Xu + h$. (In particular, $f \geq Xu$.) Moreover, $\|h\|_{C^\beta} + \|Xu\|_{C^\beta} \leq C\|f\|_{C^\alpha}$.

Theorem (Gouëzel-L. '19, Approximate Livsic theorem)

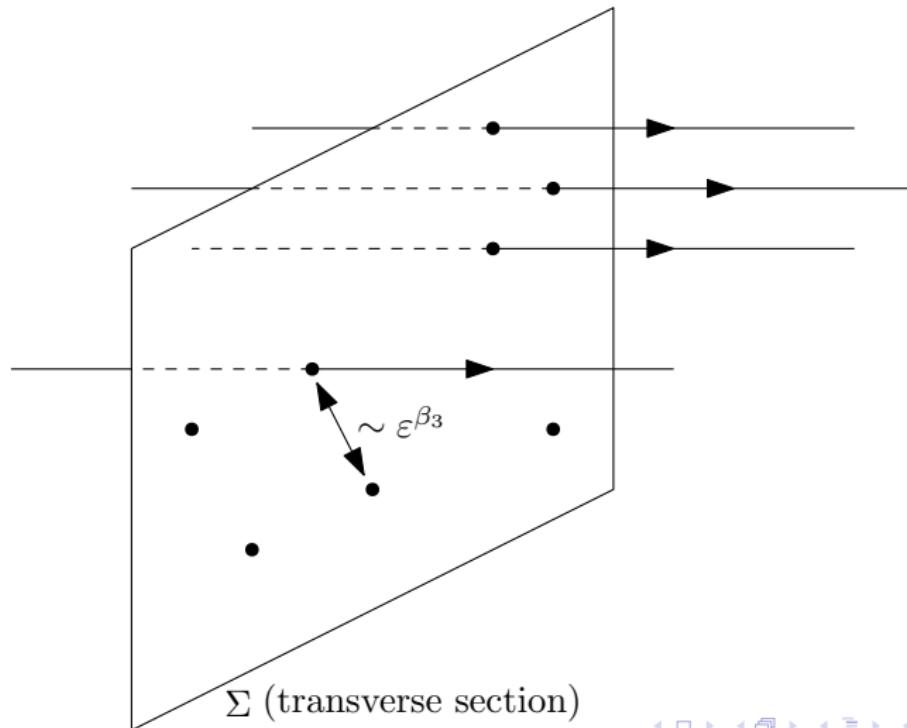
Let $\alpha \in (0, 1)$. There exists $\beta \in (0, \alpha)$, $\nu > 0$ such that the following holds. For any $\varepsilon > 0$ small enough, given $f \in C^\alpha(\mathcal{M})$ such that $\|f\|_{C^\alpha} \leq 1$ and $\|If\|_{\ell^\infty} \leq \varepsilon$, there exists $u, h \in C^\beta(\mathcal{M})$ such that $Xu \in C^\beta(\mathcal{M})$, $\|h\|_{C^\beta} \leq \varepsilon^\nu$ and $f = Xu + h$.

Theorem (Gouëzel-L. '19, Finite Livsic theorem)

Let $\alpha \in (0, 1)$. There exists $\beta \in (0, \alpha)$, $\mu > 0$ such that the following holds. For any $L > 0$ large enough, given $f \in C^\alpha(\mathcal{M})$ such that $\|f\|_{C^\alpha} \leq 1$ and $If(\gamma) = 0$ for all $\gamma \in \mathcal{G}$ such that $\ell(\gamma) \leq L$, there exists $u, h \in C^\beta(\mathcal{M})$ such that $Xu \in C^\beta(\mathcal{M})$, $\|h\|_{C^\beta} \leq L^{-\mu}$ and $f = Xu + h$. This implies that $\|If\|_{\ell^\infty} \leq L^{-\mu}$.

(Second theorem is actually a corollary of the proof of the first one.)

Idea for the first theorem: find a **periodic orbit of length $\varepsilon^{-\beta_1}$** ($\beta_1 < 1$) that is ε^{β_2} -dense in \mathcal{M} and yet ε^{β_3} -separated. Then, mimick the proof of the classical Livsic theorem.



- (M, g) smooth connected closed Riemannian manifold with **Anosov geodesic flow** $(\varphi_t)_{t \in \mathbb{R}}$ on its **unit tangent bundle** $\mathcal{M} := SM$. We call (M, g) an **Anosov Riemannian manifold**.
- \mathcal{C} is the set of **free homotopy classes**; there exists a **unique closed geodesic in each free homotopy class** $c \in \mathcal{C}$ (**Klingenberg '74**). We identify \mathcal{G} and \mathcal{C} .
- $C^\infty(M, \otimes_S^m T^*M)$ is the vector-space of **smooth symmetric m -tensors** ($m \in \mathbb{N}$).

- Symmetric tensors on M can be seen as functions on the unit tangent bundle SM , **polynomial in the spheric variable**. Given $m \in \mathbb{N}$, $f \in C^0(M, \otimes_S^m T^* M)$, we define $\pi_m^* f \in C^0(SM)$ by $\pi_m^* f : (x, v) \mapsto f_x(v, \dots, v)$.

Definition (Geodesic X-ray transform)

$$I_m : C^0(M, \otimes_S^m T^* M) \rightarrow \ell^\infty(\mathcal{C}),$$

$$I_m f = I \pi_m^* f : \mathcal{C} \ni c \mapsto \frac{1}{\ell(\gamma_c)} \int_0^{\ell(\gamma_c)} f_{\gamma_c(t)}(\dot{\gamma}_c(t), \dots, \dot{\gamma}_c(t)) dt,$$

with γ_c unique closed geodesic in c .

- **Question:** Kernel of the X-ray transform?

• **Tensor decomposition:**

$$f = Dp + h,$$

with $D := \sigma \circ \nabla$ (∇ Levi-Civita connexion, σ symmetrization operator of tensors), $D^*h = 0$ where D^* is the formal adjoint of D . We call Dp the **potential part** and h the **solenoidal part** of f .

- $I_m(Dp) = 0$, that is $\{\text{potential tensors}\} \subset \ker I_m$. I_m is said to be **solenoidal-injective** when this is an equality.

Conjecture

I_m is s-injective whenever (M, g) is an Anosov Riemannian manifold.

Known results when (M, g) Anosov; I_m is s-injective for:

- any $m \in \mathbb{N}$ on surfaces (**Paternain-Salo-Uhlmann '14, Guillarmou '17**),
- any $m \in \mathbb{N}$ in any dimension, in **nonpositive curvature** (**Croke-Sharafutdinov '98**),
- $m = 0, 1$ in any dimension (**Dairbekov-Sharafutdinov-11**).

- **Question:** Once we have s -injectivity, can we obtain a **stability estimate** of the form

$$\|f\|_{\mathcal{H}_1} \leq C \|I_m f\|_{\mathcal{H}_2}, \quad \forall f \text{ solenoidal},$$

for some **well-chosen spaces** $\mathcal{H}_{1,2}$?

Theorem (Guillarmou-L. '18, Gouëzel-L. '19)

For all exponents $n/2 < s < r$, there exists $C, \nu > 0$ such that the following holds. For all **solenoidal tensors** f such that $\|f\|_{\mathcal{H}^r} \leq 1$, one has:

$$\|f\|_{\mathcal{H}^s} \leq C \|I_m f\|_{\ell^\infty}^\nu$$

Now, recall the **Finite Livsic theorem**:

Theorem (Gouëzel-L. '19, Finite Livsic theorem)

For any $L > 0$ large enough, given $f \in C^\alpha(\mathcal{M})$ such that $\|f\|_{C^\alpha} \leq 1$ and $\|f(\gamma)\| = 0$ for all $\gamma \in \mathcal{G}$ such that $\ell(\gamma) \leq L$, there exists $u, h \in C^\beta(\mathcal{M})$ such that $Xu \in C^\beta(\mathcal{M})$, $\|h\|_{C^\beta} \leq L^{-\mu}$ and $f = Xu + h$. This implies that $\|f\|_{\ell^\infty} \leq L^{-\mu}$.



Combining the two previous theorems, we obtain the

Corollary (Gouëzel-L. '19)

For all exponents $n/2 < s < r$, there exists $\mu > 0$ such that the following holds. For any $L > 0$ large enough, given any *solenoidal tensor* f such that $\|f\|_{H^r} \leq 1$ and $I_m f(c) = 0$ for all $c \in \mathcal{C}$ such that $\ell(\gamma_c) \leq L$, one has: $\|f\|_{H^s} \leq L^{-\mu}$. (In particular, $L = +\infty$ is the Classical Livsic theorem.)

- The X-ray transform I has **bad analytic properties** (in particular, it maps to functions on a discrete set).
- **Idea (Guillarmou '17):** Mimick the case of a simple manifold with boundary (smwb). On a smwb, we can write the normal operator

$$I^* I = \int_{-\infty}^{+\infty} e^{tX} dt$$

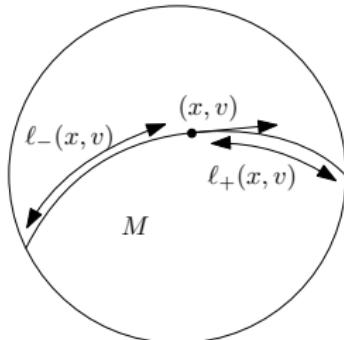
(i.e. $I^* I f(x, v) = \int_{\ell_-(x, v)}^{\ell_+(x, v)} f(\varphi_t(x, v)) dt$).

Then

$$I_m^* I_m = \pi_{m*} I^* I \pi_m^*$$

is a Ψ DO of order -1, elliptic on solenoidal tensors.

- If $R_{\pm}(\lambda) := (X \pm \lambda)^{-1}$ denotes the **resolvent of the generator of the geodesic flow**, then $I^* I = R_+(0) - R_-(0)$.



Same construction works on a closed manifold:

- $R_{\pm}(\lambda) := (X \pm \lambda)^{-1}$ (initially defined for $\Re(\lambda) > 0$) can be **meromorphically extended** to the whole complex plane (**Faure-Sjöstrand '11, Dyatlov-Zworski '16**).
- $R_{\pm}(\lambda)$ have a **pole** of order 1 at 0; we denote by R_0^{\pm} the holomorphic part of $R_{\pm}(\lambda)$ at $\lambda = 0$. We set:

$$\Pi := R_0^+ - R_0^- + \mathbf{1} \otimes \mathbf{1}$$

Π is the analogue of I^*I . One has $\Pi X = 0 = X\Pi$.

- Define $\Pi_m := \pi_{m*}\Pi\pi_m^*$. Analogue of $I_m^*I_m$.

Theorem (Guillarmou '17, Guillarmou-L. '18, Gouëzel-L. '19,
Properties of Π_m)

- Π_m is a Ψ DO of order -1 , *elliptic* on solenoidal tensors,
- $\Pi_m D = 0 = D^* \Pi_m$,
- If I_m is *s-injective*, then Π_m is *s-injective*; moreover, there exists a Ψ DO of order 1 such that $P \Pi_m = \pi_{\ker D^*}$, where $\pi_{\ker D^*}$ is the L^2 -projection on solenoidal tensors. This implies:

$$\|f\|_{H^s} \leq C \|\Pi_m f\|_{H^{s+1}}, \quad \forall f \text{ solenoidal}$$

- Π is *non-negative* i.e. $\langle \Pi f, f \rangle_{L^2} \geq 0$ and Π_m is *coercive* i.e. $\langle \Pi_m f, f \rangle_{L^2} \geq C \|f\|_{H^{-1/2}}^2$ for all solenoidal f .

- Here, $L^2 = L^2(SM, d\mu)$, where μ is the *Liouville measure*.
- For $f \in C^\infty(SM)$, we call $\text{Var}(f) := \langle \Pi f, f \rangle_{L^2}$ the *variance of f with respect to the Liouville measure*. And for $f \in C^\infty(M, \otimes_S^m T^*M)$, $\text{Var}_m(f) = \langle \Pi_m f, f \rangle_{L^2}$.

- The link between I_m and Π_m is **not explicit** but obtained thanks to the **Positive Livsic Theorem** or the **Approximate Livsic Theorem**.
- Roughly (for some well-chosen $s \dots$) writing $\pi_m^* f = Xu + h$, with $\|h\|_{C^\beta} \leq \|I_m f\|_{\ell^\infty}^\nu$, one has:

$$\begin{aligned}\|f\|_{H^s} &\leq \|\Pi_m f\|_{H^{s+1}} \\ &= \|\pi_{m*} \Pi \pi_m^* f\|_{H^{s+1}} \\ &= \|\pi_{m*} \underbrace{\Pi X}_{=0} u + \pi_{m*} \Pi h\|_{H^{s+1}} \\ &= \|\pi_{m*} \Pi h\|_{H^{s+1}} \leq \|h\|_{H^{s+1}} \leq \|I_m f\|_{\ell^\infty}^\nu\end{aligned}$$

So $\|f\|_{H^s} \leq \|I_m f\|_{\ell^\infty}^\nu$.

We apply the previous results in the case $m = 2$.

- (M, g) is an Anosov Riemannian manifold,
- \mathcal{C} is the set of **free homotopy classes**; given $c \in \mathcal{C}$, there exists a unique closed geodesic $\gamma_c \in c$ (**Klingenberg '74**).

Definition (The marked length spectrum)

$$L_g : \left| \begin{array}{l} \mathcal{C} \rightarrow \mathbb{R}_+^* \\ c \mapsto \ell_g(\gamma_c), \end{array} \right.$$

$\ell_g(\gamma_c)$ Riemannian length computed with respect to g .

Conjecture (Burns-Katok '85)

*The marked length spectrum of a negatively-curved manifold **determines the metric** (up to isometries) i.e.: if g and g' have negative sectional curvature, same marked length spectrum $L_g = L_{g'}$, then there exists $\phi : M \rightarrow M$ smooth diffeomorphism such that $\phi^*g' = g$.*

- The action of diffeomorphisms is a natural obstruction one cannot avoid,
- Analogue of Michel's conjecture of rigidity for simple manifolds with boundary (**the boundary distance function should determine the metric** up to isometries),
- Why the **marked** length spectrum ? The **length spectrum** (:= collection of lengths regardless of the homotopy) **does not determine the metric** (counterexamples by **Vigneras '80**)

Known results:

- **Croke '90, Otal '90:** proof for **negatively-curved surfaces**,
- **Katok '88:** proof for g' **conformal** to g ,
- **Besson-Courtois-Gallot '95, Hamenstädt '99:** proof when (M, g) is a **locally symmetric space**.
- Conjecture remains open in dimension > 2 for negatively-curved manifolds and in any dimension for Anosov Riemannian manifolds.

Theorem (Guillarmou-L. '18)

Let (M, g_0) be a negatively-curved manifold. Then $\exists k \in \mathbb{N}^*, \mathcal{U}$ open \mathcal{C}^k -neighborhood of g_0 such that: if $g \in \mathcal{U}$ and $L_g = L_{g_0}$, then **g is isometric to g_0** .

We pick g in a neighborhood of g_0 . Ideas of the proof:

- **Solenoidal reduction:** there exists a diffeomorphism $\phi : M \rightarrow M$ such that $g' := \phi^*g$ is solenoidal. (Without loss of generality, we can assume g is **solenoidal** at the beginning.)
- Use a **Taylor expansion** of the marked length spectrum:

$$\frac{L_g}{L_{g_0}} = 1 + \frac{1}{2} I_2^{g_0}(g - g_0) + \mathcal{O}(\|g - g_0\|_{C^3}^2),$$

thus, if $L_g = L_{g_0}$, $\|I_2^{g_0}(g - g_0)\|_{\ell^\infty} = \mathcal{O}(\|g - g_0\|_{C^3}^2)$.

- Then, use the **stability estimates on I_2**

$$\|g - g_0\|_{H^s} \leq \|I_2^{g_0}(g - g_0)\|_{\ell^\infty}^\nu$$

to conclude that $g' = g_0$.

We present another proof of (a refined version) this Theorem. Let us pretend we do not know the previous proof.

Theorem (Guillarmou-Knieper-L. '19)

Let (M, g_0) be a negatively-curved manifold. Then $\exists k \in \mathbb{N}^*, \mathcal{U}$ open \mathcal{C}^k -neighborhood of g_0 such that: if $g \in \mathcal{U}$ and

$$\lim_{j \rightarrow +\infty} \frac{L_g(c_j)}{L_{g_0}(c_j)} \rightarrow 1,$$

for all sequences of closed geodesics $(\gamma_{c_j})_{c \in \mathbb{N}}$ such that $L_{g_0}(c_j) \rightarrow \infty$, then g is isometric to g_0 .

For simplicity, we denote this assumption by $L_g/L_{g_0} \rightarrow 1$.

- The geodesic flows $(\varphi_t^{g_0})_{t \in \mathbb{R}}$ and $(\varphi_t^g)_{t \in \mathbb{R}}$ are **orbit-conjugate**, that is there exists a homeomorphism $\psi_g : SM \rightarrow SM$ (differentiable in the flow direction) such that $d\psi_g(X_{g_0}) = a_g X_g$,
- The marked length spectrum coincide i.e. $L_g = L_{g_0}$ iff the geodesic flows are conjugate i.e. $a_g \equiv 1$ (thus $\psi_g \circ \varphi_t^{g_0} = \varphi_t^g \circ \psi_g$),
- $d\mu_{g_0}$ is the Liouville measure induced by the metric g_0 .

Definition (Geodesic stretch)

The geodesic stretch of g with respect to the Liouville measure $d\mu_{g_0}$ is

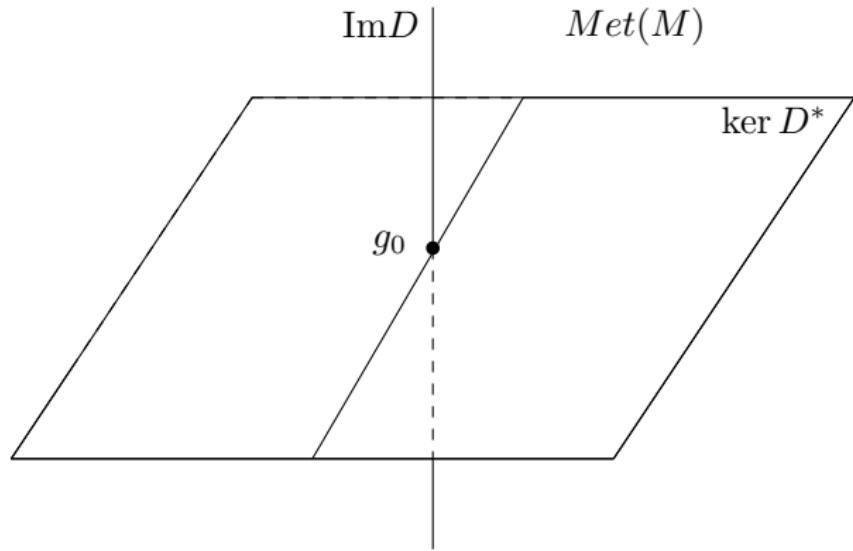
$$\mathcal{I}_{d\mu_{g_0}}(g_0, g) := \int_{SM} a_g \, d\mu_{g_0}$$

Lemma

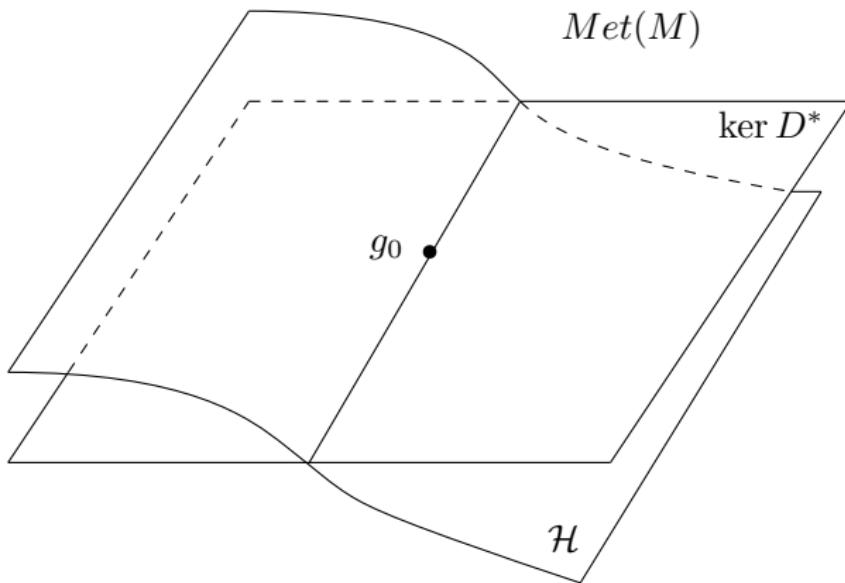
Under the assumption that $L_g / L_{g_0} \rightarrow 1$, $\mathcal{I}_{d\mu_{g_0}}(g_0, g) = 1$.

Let us do some "geometry" in $\text{Met}(M)$, the [space of metrics](#) on M .

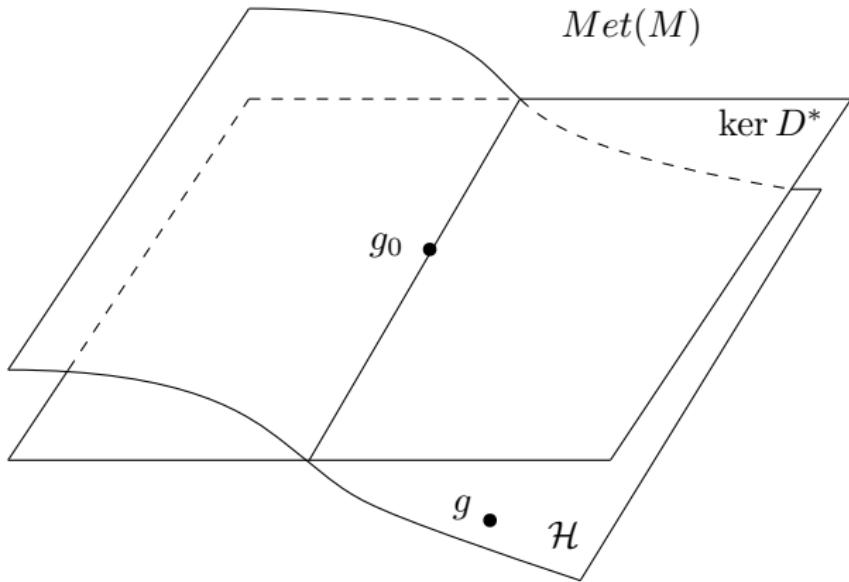
- $\text{Met}(M) \subset C^\infty(M, \otimes_S^2 T^*M)$, $\mathcal{O}(g_0) := \{\phi^* g_0 \mid \phi \in \text{Diff}_0(M)\}$,
 - $T_{g_0} \text{Met}(M) \simeq C^\infty(M, \otimes_S^2 T^*M) \simeq \ker D_{g_0}^* \oplus \text{Im } D_{g_0} \simeq \ker D_{g_0}^* \oplus T_{g_0} \mathcal{O}(g_0)$



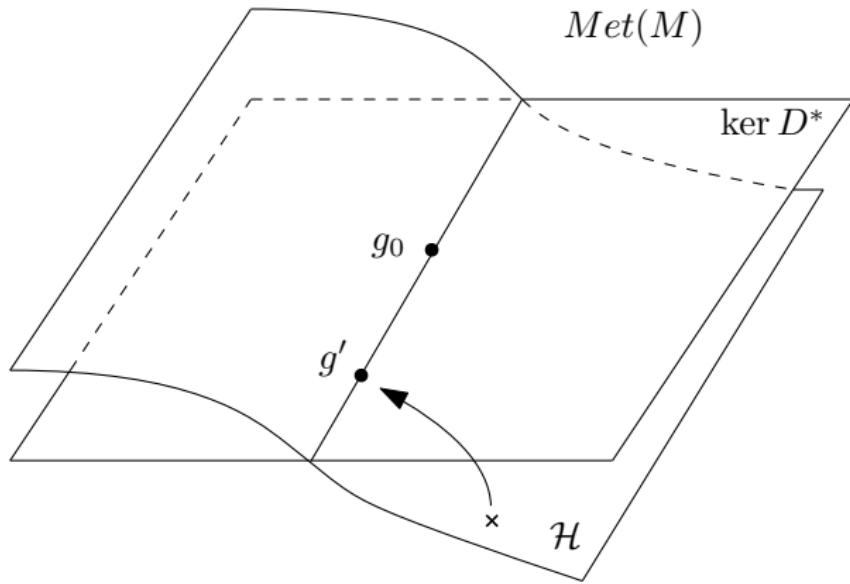
- We introduce a **codimension 1 submanifold \mathcal{H}** of $\text{Met}(M)$ (defined by an implicit equation $F(g) = 0$ for some $F : \text{Met}(M) \rightarrow \mathbb{R}$) **passing through g_0** such that $\{g \mid L_g/L_{g_0} \rightarrow 1\} \subset \mathcal{H}$. Moreover, \mathcal{H} is **transverse** to $\ker D^*$.



- We pick g such that $L_g/L_{g_0} \rightarrow 1$ (thus $g \in \mathcal{H}$). We can first do a **solenoidal reduction**. Here $g' = \phi^*g$.



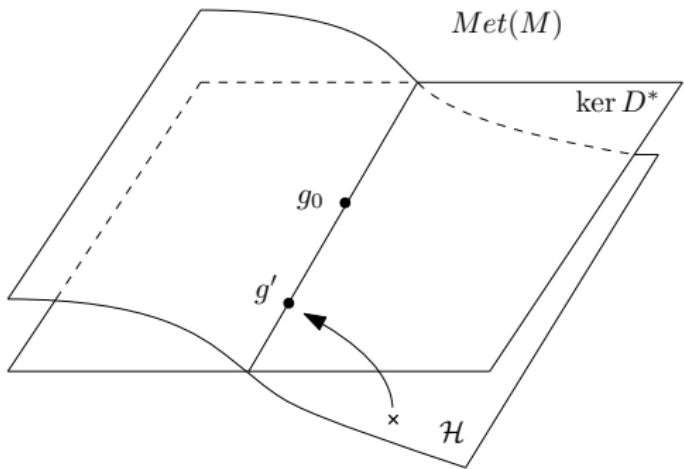
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The geodesic stretch functional

$\Psi : g \mapsto \mathcal{I}_{d\mu_{g_0}}(g_0, g)$ has the following properties on $\mathcal{H} \cap \ker D^*$:

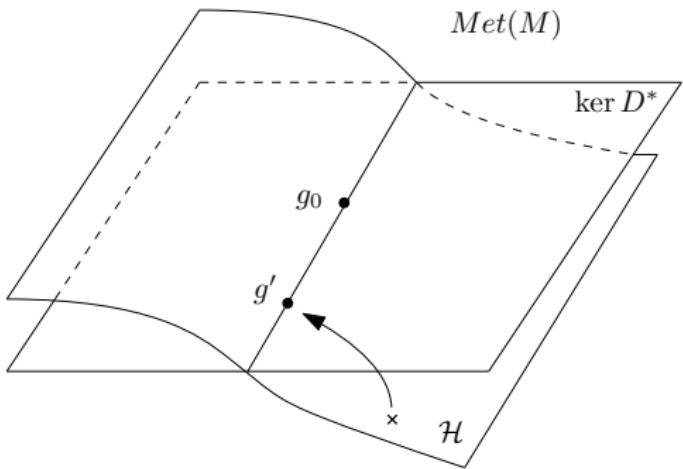
- $\Psi(g_0) = 1$
 - $d\Psi_{g_0} = 0$
 - $d^2\Psi_{g_0}(h, h) = \text{Var}_2(h) = \langle \Pi_2 h, h \rangle \geq C \|h\|_{H^{-1/2}}^2$



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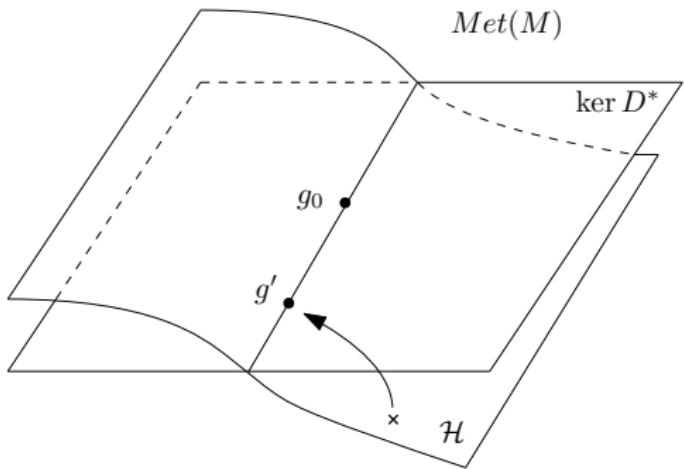
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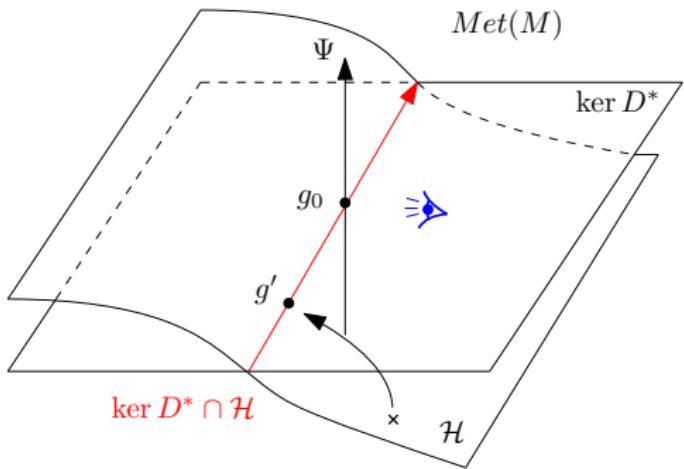
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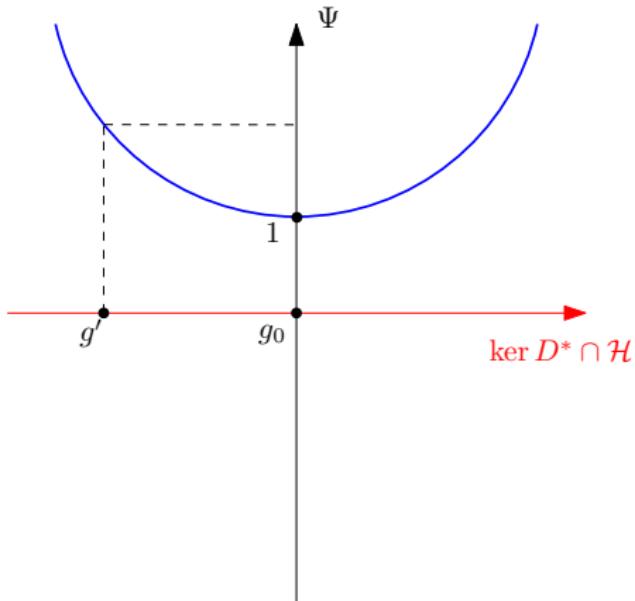
$\Psi : g \mapsto \mathcal{I}_{d\mu_{g_0}}(g_0, g)$ has the following properties on $\mathcal{H} \cap \ker D^*$:

- $\Psi(g_0) = 1$
- $d\Psi_{g_0} = 0$
- $d^2\Psi_{g_0}(h, h) = \text{Var}_2(h) = \langle \Pi_2 h, h \rangle \geq C \|h\|_{H^{-1/2}}^2$

But since $L_{g'}/L_{g_0} \rightarrow 1$, $\Psi(g') = 1$.

We then easily obtain that $g' = g_0$ (as long as g was chosen close enough to g_0 at the beginning).

This concludes the proof.



The proof also yields a **stability estimate** of the form:

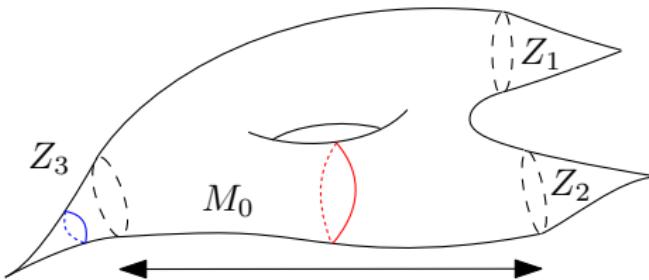
Corollary

There exists $k \in \mathbb{N}$ such that for any metric $g \in \mathcal{H}$ in a neighborhood of g_0 , there exists a diffeomorphism $\phi : M \rightarrow M$ such that:

$$\|\phi^*g - g_0\|_{C^k} \lesssim |1 - \mathcal{I}_{d\mu_{g_0}}(g_0, g)|$$

Perspectives:

- Further study of the **geodesic stretch functional**.
- Other applications of the stability estimates on I_m , for $m \neq 0, 2$?
- Study of the Marked Length Spectrum on **non-compact manifolds with hyperbolic cusps** (paper in preparation with Yannick Guedes Bonthonneau).



Thank you for your attention !

References:

- *Classical and microlocal analysis of the X-ray transform on Anosov manifolds*, with Sébastien Gouëzel, in preparation,
- *The marked length spectrum of Anosov manifolds*, with Colin Guillarmou, preprint (<https://arxiv.org/abs/1806.04218>),
- *Geodesic stretch and marked length spectrum rigidity*, with Colin Guillarmou and Gerhard Knieper, in preparation.